

Algebraic and combinatorial aspects of category theory

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2025

Part I

Invitation

Outline

- ① Algebraic invitation
- ② Combinatorial invitation

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- ① Algebraic invitation
- ② Combinatorial invitation

Part II

Correspondence between monads and theories, take 1

Outline

③ Linton's theorem

- Introduction
- Syntactic categories
- Lawvere theories and their models
- Interlude I: adjunctions
- Interlude II: Kleisli category
- Lawvere theories from monads
- Monads from Lawvere theories
- Interlude: hom-based monads
- Lawvere theories to monads, continued
- Functoriality

④ Grothendieck's nerve theorem and the Segal condition

⑤ Sketching a general correspondence between monads and theories

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⑤ Sketching a general correspondence between monads and theories

This lecture

A first, easy example bridge between algebraic and combinatorial category theory.

Equivalence between

- $T\text{-}\mathbf{Alg}$ for suitable monads T on sets and
- suitable presheaf categories.

A bit more detail

- **Finitary** monad, intuitively: finitary operations.
- Form a category $\mathbf{Mnd}_f(\mathbf{Set})$.
- **Lawvere theory**: small category with finite products freely generated by an object.
- Form a category \mathbf{Law} .
- Each Lawvere theory $\mathbb{L} \mapsto$ category $\mathbf{Mod}(\mathbb{L})$ of models.
- $\mathbf{Mod}(\mathbb{L}) \hookrightarrow [\mathbb{L}, \mathbf{Set}]$.

Theorem (Linton)

$$\begin{array}{ccc}
 \mathbf{Mnd}_f(\mathbf{Set}) & \xrightarrow{\cong} & \mathbf{Law} \\
 \searrow & & \swarrow \\
 (-)\text{-Alg} & & \mathbf{Mod} \\
 & \text{CAT} &
 \end{array}$$

Reminder on monads

Definition

A **monad** on a category \mathbf{C} is an endofunctor $T: \mathbf{C} \rightarrow \mathbf{C}$, equipped with natural transformations

$$\eta: id_{\mathbf{C}} \rightarrow T$$

$$\mu: T \circ T \rightarrow T,$$

making the following diagrams commute.

$$\begin{array}{ccc}
 T(X) & \xrightarrow{\eta_{T(X)}} & T(T(X)) \xleftarrow{T(\eta_X)} T(X) \\
 & \searrow & \downarrow \mu_X \nearrow \\
 & & T(X)
 \end{array}
 \qquad
 \begin{array}{ccc}
 T(T(T(X))) & \xrightarrow{T(\mu_X)} & T(T(X)) \\
 \mu_{T(X)} \downarrow & & \downarrow \mu_X \\
 T(T(X)) & \xrightarrow{\mu_X} & T(X)
 \end{array}$$

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Algebraic signatures

Definition (*Algebraic signature*)

Set O with map $a: O \rightarrow \mathbb{N}$.

Example

Let $\Sigma_{0,2}$ have

a constant e and a binary operation m ,

given by

$$[0, 2]: 2 \rightarrow \mathbb{N}.$$

Terms

Fix an algebraic signature Σ given by $a: O \rightarrow \mathbb{N}$.

Definition (Σ -terms)

- Family $\Sigma^*: \mathbf{Set} \rightarrow \mathbf{Set}$.
- Defined by $\Sigma^*(X) = \{X \vdash_{\Sigma} e\}$, where \vdash_{Σ} defined inductively:

$$\frac{\text{VAR}}{X \vdash_{\Sigma} [x]} (x \in X) \qquad \frac{\text{OP} \quad X \vdash_{\Sigma} e_1 \quad \dots \quad X \vdash_{\Sigma} e_p}{X \vdash_{\Sigma} o(e_1, \dots, e_p)} (a(o) = p)$$

Syntactic categories

Definition (*Syntactic category* \mathbb{L}_Σ)

- Morphism $m \rightarrow n$:
 - n -tuple $\langle M_1, \dots, M_n \rangle$,
 - where each $M_i \in \Sigma^*(m)$: term with scope x_1, \dots, x_m (names irrelevant).
- View each $M: m \rightarrow n$ as an *assignment*
 $[y_1 \mapsto M_1, \dots, y_n \mapsto M_n]$.
- Composition $m \xrightarrow{M} n \xrightarrow{N} p$ by substitution

$$\langle N_1[M], \dots, N_p[M] \rangle.$$

- Identity $n \rightarrow n$: $\langle x_1, \dots, x_n \rangle$.

Syntactic categories

Exercise

Check the category axioms.

Syntactic categories

- Associativity of composition

$$m \xrightarrow{M} n \xrightarrow{N} p \xrightarrow{P} q$$

given by

$$P_l[N][M] = P_l[N[M]],$$

for all $l \in q$, where $N[M]_k = N_k[M]$ for all $k \in p$.

- Left unitality $id_n \circ M = M$:

$$(id_n \circ M)_j = y_j[M] = M_j \quad (j \in n).$$

- Right unitality $M \circ id_m = M$:

$$(M \circ id_m)_j = M_j[\langle x_1, \dots, x_m \rangle] = M_j \quad (j \in n).$$

Syntactic categories

Exercise

Check the existence of

- binary products and
- terminal object

in \mathbb{L}_Σ .

Syntactic categories

Candidates:

- Product $m \times n$: sum $m + n$?!
- Terminal object: initial object 0 ?!

Syntactic categories

Proof.

- Morphisms $m \rightarrow 0$: 0-tuples of terms... i.e., $\langle \rangle$.
- Morphisms $m \rightarrow n + p$: $(n + p)$ -tuples of terms with scope n .
 \cong pairs of an n -tuple and a p -tuple.

$$\underbrace{\langle M_1, \dots, M_n \rangle}_{n\text{-tuple}} \underbrace{\langle M_{n+1}, \dots, M_{n+p} \rangle}_{p\text{-tuple}}$$

- Projections $n \xleftarrow{\langle x_1, \dots, x_n \rangle} n + p \xrightarrow{\langle x_{n+1}, \dots, x_{n+p} \rangle} p$.

$$\begin{aligned} \langle x_1, \dots, x_n \rangle [M] &= \langle M_1, \dots, M_n \rangle \\ \langle x_{n+1}, \dots, x_{n+p} \rangle [M] &= \langle M_{n+1}, \dots, M_{n+p} \rangle. \end{aligned}$$



Syntactic categories

Trivial observation:

Proposition

Every object in \mathbb{L}_Σ is a *finite power*^a of 1.

^aSelf, multiple product.

Proof.

Indeed, $n = 1 + \dots + 1$, brilliant, I know.

Particular case: $0 = 1^0$. □

Towards Lawvere theories

Let us now abstract over the properties of syntactic categories.
 \leadsto Lawvere theories.

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Finite products

Recall the definition of binary products?

Definition (*Product* of a family $(A_i)_{i \in I}$ of objects in category \mathbf{C})

- Object $\prod_i A_i$ and projections $\pi_j: \prod_i A_i \rightarrow A_j$.
- Such that for all cones $\lambda_j: C \rightarrow A_j$,
- $\exists!$ cone morphism

$$\begin{array}{ccc}
 C & \overset{\quad}{\dashrightarrow} & \prod_i A_i \\
 \searrow \lambda_j & & \swarrow \pi_j \\
 & A_j &
 \end{array}$$

A category has *finite products* if each $\prod_i A_i$ exists for finite I .

Finite products

Exercise

Show that \mathbf{C} has finite products iff it has binary products and a terminal object.

Powers

Definition (I -th power A^I of $A \in \mathbf{C}$)

$$\prod_{i \in I} A.$$

Remark

Subtlety: power \approx heterogeneous function object.

Indeed, I is a set!

Universal property:

$$\mathbf{C}(X, A^I) \cong \mathbf{Set}(I, \mathbf{C}(X, A)).$$

Skeletal categories

Definition (*Skeletal* category)

All isomorphic objects are equal.

Example

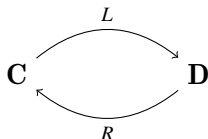
- Counterexample: \mathbf{Set}_f , the category of finite sets.
Not small!
- Example: \mathbb{F} , finite cardinals $(0, 1, \dots)$.

This is your first **equivalence of categories**!

Equivalence

Definition

An *equivalence of categories* is a pair

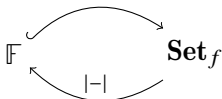


of functors, together with natural isomorphisms

$$\eta: id_{\mathbf{C}} \rightarrow RL \quad \text{and} \quad \varepsilon: LR \rightarrow id_{\mathbf{D}}.$$

Equivalence

Example



- Choose bijection $\varepsilon: |X| \rightarrow X$ for any $X \in \mathbf{Set}_f$, identity on any $X \in \mathbb{F} \subseteq \mathbf{Set}_f$.
- $|-|$ on morphisms: $|f| := \varepsilon_Y^{-1} \circ f \circ \varepsilon_X$, so that we have:

$$\begin{array}{ccc} |X| & \xrightarrow{\varepsilon_X} & X \\ |f| \downarrow & & \downarrow f \\ |Y| & \xrightarrow{\varepsilon_Y} & Y \end{array}$$

- $\eta: n \rightarrow |n|$ is an equality (hence natural).

Interlude: full, faithful, embedding

For a functor $F: \mathbf{C} \rightarrow \mathbf{D}$, we have seen:

- **full**: $F_{A,B}: \mathbf{C}(A, B) \rightarrow \mathbf{D}(FA, FB)$ surjective $\forall A, B$;
- **faithful**: $F_{A,B}: \mathbf{C}(A, B) \rightarrow \mathbf{D}(FA, FB)$ injective $\forall A, B$.

Definition

Embedding: injective on objects + faithful.

\approx subcategory.

Remark

Intuition for full embedding $E: \mathbf{C} \hookrightarrow \mathbf{D}$: $\mathbf{C} \cong \text{image of } E$.

Characterisation of equivalences

Definition ($F: \mathbf{C} \rightarrow \mathbf{D}$ *essentially surjective on objects*)

Any $D \in \mathbf{D}$ is isomorphic to some $F(C)$.

Proposition

Any functor that is

- fully faithful and
- essentially surjective on objects

is an equivalence.

Exercise

- Prove this (requires the axiom of choice).
- Observe that the previous proof is an instance.

Lawvere theories

Definition (*Lawvere theory*)

- A small, skeletal category with finite products, whose objects all are finite powers of a single “generating” object.
- Morphism: functor preserving
 - finite products and
 - generating object.
- Form a category **Law**.

Example

- Any \mathbb{L}_Σ .
- Particular case: empty Σ , say Σ_\emptyset .

Exercise: compute $\mathbb{L}_{\Sigma_\emptyset}$.

Solution

- Objects: finite cardinals, by definition.
- Morphisms $m \rightarrow n$: n -tuples of terms $m \vdash_{\Sigma} e \dots$
but no operations \leadsto terms = variables.
- Thus, TFAE:
 - morphisms $m \rightarrow n$,
 - n -tuples of variables in $[i_1], \dots, [i_n]$,
 - **maps** $n \rightarrow m$. (notational abuse: $m = \{1, \dots, m\}$.)

We have shown:

Proposition

$\mathbb{L}_{\Sigma_0} \cong \mathbb{F}^{op}$, where $\mathbb{F} \hookrightarrow \mathbf{Set}$ denote the **full** subcategory on finite cardinals.

Pondering

Consequence

\mathbb{F}^{op} is a Lawvere theory.

Get used to it:

A (small, skeletal) category with finite products whose objects all are finite powers of a single “generating” object.

- Disjoint sums yield finite coproducts in \mathbb{F} .
- All objects n are copowers $\coprod_{i \in n} 1$ of 1 .
- Conclude by duality.

A syntactic viewpoint on \mathbb{F}^{op}

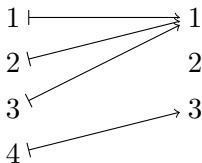
Think of morphisms $m \rightarrow n$ as renamings.

Observation

$$f: n \rightarrow m \text{ in } \mathbb{F} \quad \text{vs} \quad \langle \pi_{f(1)}, \dots, \pi_{f(n)} \rangle: m \rightarrow n.$$

(Each term is a variable, i.e., a projection from the scope.)

Example



$$\frac{4 \rightarrow 3}{1, \dots, 3 \mapsto 1 \quad 4 \mapsto 3}$$

$$\langle [1], [1], [1], [3] \rangle$$

A syntactic viewpoint on \mathbb{F}^{op}

Proposition

\mathbb{F}^{op} is initial in **Law**.

Proof.

For any \mathbb{L} , any $\mathbb{F}^{op} \rightarrow \mathbb{L}$ needs to map

- 1 to generating object, say x ,
- any $\langle \pi_{f(1)}, \dots, \pi_{f(n)} \rangle: m \rightarrow n$ to

$$\langle \pi_{f(1)}, \dots, \pi_{f(n)} \rangle: x^m \rightarrow x^n.$$



Remark: $\mathbb{F}^{op} \rightarrow \mathbb{L}$ is bijective on objects.

Models of a Lawvere theory

Definition

Model of \mathbb{L} : finite product-preserving functor $\mathbb{L} \rightarrow \mathbf{Set}$.
Full subcategory $[\mathbb{L}, \mathbf{Set}]_{\text{fp}} \hookrightarrow [\mathbb{L}, \mathbf{Set}]$.

Remark

For model $M: \mathbb{L} \rightarrow \mathbf{Set}$,

$$M(n) = M(1^n) \cong M(1)^n.$$

Call $M(1)$ the carrier.

Forgetful functor $\mathbf{U}^{\mathbb{L}}: \mathbf{Mod}(\mathbb{L}) \rightarrow \mathbf{Set}$.

Moral

- For algebraic signature Σ , e.g., with $o: 2 \rightarrow 1$.
- \mathbb{L}_Σ is the free category with finite products on Σ .
- So models $\mathbb{L}_\Sigma \rightarrow \mathbf{Set}$ correspond bijectively to choices of
 - a set X ,
 - maps $X^n \rightarrow X$ for all operations of arity n .

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Interlude I: adjunctions

- Fix monad $T: \mathbf{C} \rightarrow \mathbf{C}$.
- We have seen $\mathbf{U}^T: T\text{-}\mathbf{Alg} \rightarrow \mathbf{C}$.
- Intuition for $T(C)$: “free algebra”.
- Let us substantiate and abstract.

Universal property of free algebra

Recall T -algebra structure $\mu_C^T: T(TC) \rightarrow TC$.

Proposition

For any T -algebra $a: TA \rightarrow A$, the map

$$T\text{-Alg}(TC, A) \xrightarrow{U_{TC, A}^T} \mathbf{C}(TC, A) \xrightarrow{\mathbf{C}(\eta_C^T, A)} \mathbf{C}(C, A)$$

is bijective.

$$\begin{array}{ccc}
 C & \xrightarrow{\eta_C^T} & TC \\
 & \searrow f & \downarrow \tilde{f} \\
 & & A
 \end{array}$$

Universal property of free algebra

$$\begin{array}{ccc} C & \xrightarrow{\eta_C^T} & TC \\ & \searrow f & \downarrow \tilde{f} \\ & & A \end{array}$$

Exercise

Prove this.

Candidate \tilde{f}

$$\begin{array}{ccc}
 C & \xrightarrow{\eta_C^T} & TC \\
 & \searrow f & \downarrow Tf \\
 & & TA \\
 & & \downarrow a \\
 & & A
 \end{array}$$

Need to check:

- commutation of triangle,
- algebra morphism,
- uniqueness.

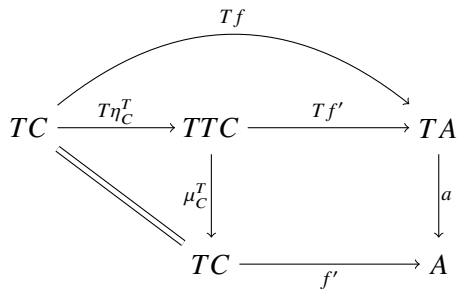
Commutation of triangle

$$\begin{array}{ccc}
 C & \xrightarrow{\eta_C^T} & TC \\
 \searrow f & & \downarrow Tf \\
 & A & \xrightarrow{\eta_A^T} TA \\
 & \parallel & \downarrow a \\
 & & A
 \end{array}$$

Algebra morphism

$$\begin{array}{ccccc}
 TTC & \xrightarrow{TTf} & TTA & \xrightarrow{Ta} & TA \\
 \mu_C^T \downarrow & & \downarrow \mu_A^T & & \downarrow a \\
 TC & \xrightarrow{Tf} & TA & \xrightarrow{a} & A
 \end{array}$$

Uniqueness



Universal property of free algebra

We have proved:

Proposition

For any T -algebra $a: TA \rightarrow A$, the map

$$T\text{-Alg}(TC, A) \xrightarrow{U_{TC, A}^T} \mathbf{C}(TC, A) \xrightarrow{\mathbf{C}(\eta_C^T, A)} \mathbf{C}(C, A)$$

is bijective.

$$\begin{array}{ccc}
 C & \xrightarrow{\eta_C^T} & TC \\
 & \searrow f & \downarrow \tilde{f} \\
 & & A
 \end{array}$$

Generalisation: adjunctions

Replace

$$\mathbf{U}^T : T\text{-}\mathbf{Alg} \rightarrow \mathbf{C}$$

with arbitrary

$$U : \mathbf{A} \rightarrow \mathbf{C}.$$

Generalisation: adjunctions

Definition (*Adjunction*)

Functor $U: \mathbf{A} \rightarrow \mathbf{C}$ equipped with

- $F_0(C)$ for all C and
- $\eta_C: C \rightarrow UF_0C$

such that for all $A \in \mathbf{A}$ and f as in

$$\begin{array}{ccc}
 C & \xrightarrow{\eta_C} & UF_0C \\
 & \searrow f & \downarrow U\tilde{f} \\
 & & UA
 \end{array}$$

there exists a unique $\tilde{f}: F_0C \rightarrow A$ making the triangle commute.

Algebras \leadsto adjunction

- $F_0(C)$ is (TC, μ_C^T) ,
- $\eta_C: C \rightarrow UF_0C$ is $\eta_C^T: C \rightarrow TC$.

Symmetrisation

Proposition

For any adjunction $U: \mathbf{A} \rightarrow \mathbf{C}$,

- F_0 extends to a unique functor $F: \mathbf{C} \rightarrow \mathbf{A}$
- making $\eta: id \rightarrow UF$ natural.

Proof.

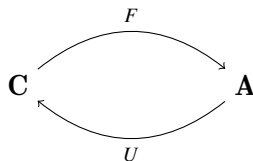
$$\begin{array}{ccc}
 C & \xrightarrow{\eta_C} & UF_0C \\
 \downarrow f & & \downarrow U\widetilde{\eta_D \circ f} \\
 D & \xrightarrow{\eta_D} & UF_0D
 \end{array}
 \qquad
 \begin{array}{ccc}
 F_0C & & \\
 \downarrow F(f) := \widetilde{\eta_D \circ f} & & \\
 F_0D & &
 \end{array}$$

□

Symmetrisation

Corollary

Adjunctions (U, F_0, η) are in 1-1 correspondence with pairs



equipped with natural $\eta: id_C \rightarrow UF$, such that

$$\begin{array}{ccc} C & \xrightarrow{\eta_C} & UFC \\ & \searrow f & \downarrow U\tilde{f} \\ & & UA \end{array}$$

as before.

Symmetrisation

Definition

We call triples (U, F, η) *functorial adjunctions*.

Symmetrisation continued

Proposition

Any adjunction (U, F_0, η) gives rise to a unique $\varepsilon: FU \rightarrow id_A$ such that

$$\begin{array}{ccc}
 UA & \xrightarrow{\eta_{UA}} & UFUA \\
 \parallel & & \downarrow U\varepsilon_A \\
 & & UA
 \end{array}
 \quad \text{and} \quad
 \begin{array}{ccc}
 FC & \xrightarrow{F\eta_C} & FUFC \\
 \parallel & & \downarrow \varepsilon_{FC} \\
 & & FC
 \end{array}$$

Symmetrisation continued

$$\begin{array}{ccc}
 UA & \xrightarrow{\eta_{UA}} & UFUA \\
 & \searrow & \downarrow U\varepsilon_A \\
 & & UA
 \end{array}$$

and

$$\begin{array}{ccc}
 FC & \xrightarrow{F\eta_C} & FUF C \\
 & \searrow & \downarrow \varepsilon_{FC} \\
 & & FC
 \end{array}$$

Proof.

Define it as below right.

$$\begin{array}{ccc}
 UA & \xrightarrow{\eta_{UA}} & UFUA \\
 & \searrow & \downarrow U\widetilde{id_{UA}} \\
 & & UA
 \end{array}$$

$$\begin{array}{ccc}
 FUA & & \\
 \downarrow \varepsilon_A := \widetilde{id_{UA}} & & \\
 A & &
 \end{array}$$

Entails left-hand triangle commutes.



Symmetrisation continued

$$\begin{array}{ccc}
 UA & \xrightarrow{\eta_{UA}} & UFUA \\
 & \searrow & \downarrow U\varepsilon_A \\
 & & UA
 \end{array}$$

and

$$\begin{array}{ccc}
 FC & \xrightarrow{F\eta_C} & FUF C \\
 & \searrow & \downarrow \varepsilon_{FC} \\
 & & FC
 \end{array}$$

Proof.

Uniqueness at $A := FC$ gives right-hand triangle.

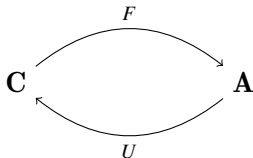
$$\begin{array}{ccc}
 C & \xrightarrow{\eta_C} & UFC \\
 \downarrow \eta_C & & \downarrow UF\eta_C \\
 UFC & \xrightarrow{\eta_{UFC}} & UFUFC \\
 & \searrow & \downarrow U\widetilde{id_{UFC}} \\
 & & UFC
 \end{array}
 \quad
 \begin{array}{ccc}
 FC & & \\
 \downarrow F\eta_C & & \\
 FUF C & & \\
 \downarrow \varepsilon_{FC} := \widetilde{id_{UFC}} & & \\
 FC & &
 \end{array}$$

□

Symmetrisation continued

Corollary

Adjunctions (U, F_0, η) are in 1-1 correspondence with pairs



equipped with natural η and ε , such that

$$\begin{array}{ccc} UA & \xrightarrow{\eta_{UA}} & UFUA \\ & \searrow & \downarrow U\varepsilon_A \\ & & UA \end{array} \quad \text{and} \quad \begin{array}{ccc} FC & \xrightarrow{F\eta_C} & FUFC \\ & \searrow & \downarrow \varepsilon_{FC} \\ & & FC \end{array}$$

Symmetrisation continued

Proof.

Remains to prove that any such $(U, F, \eta, \varepsilon)$ yields an adjunction.

Existence of \tilde{f} :

$$\begin{array}{ccc}
 C & \xrightarrow{\eta_C} & UFC \\
 \downarrow f & & \downarrow UFf \\
 & & UFUA \\
 & \nearrow \eta_{UA} & \downarrow U\varepsilon_A \\
 UA & \xlongequal{\quad} & UA
 \end{array}
 \qquad
 \begin{array}{c}
 FC \\
 \downarrow \tilde{f} := \varepsilon_A \circ Ff \\
 A
 \end{array}$$



Symmetrisation continued

Proof.

Uniqueness of \tilde{f} :

$$\begin{array}{ccccc}
 FC & \xrightarrow{F\eta_C} & FUFC & \xrightarrow{\varepsilon_{FC}} & FC \\
 & \searrow Ff & \downarrow FUf' & & \downarrow f' \\
 & & FUA & \xrightarrow{\varepsilon_A} & A
 \end{array}$$



Symmetrisation continued

Definition

We call such 4-tuples $(U, F, \eta, \varepsilon)$ *balanced adjunctions*.

Symmetrisation III

Adjunctions (U, F_0, η) are “rightist”: they emphasise U , which is called the *right adjoint*.

Definition (*leftist adjunction*)

Functor $F: \mathbf{C} \rightarrow \mathbf{A}$ equipped with

- $U_0(A)$ for each A and
- $\varepsilon_A: FU_0A \rightarrow A$

such that for all C and f as in

$$\begin{array}{ccc}
 U_0A & & FU_0A \xrightarrow{\varepsilon_A} A \\
 \tilde{f} \uparrow & & \nearrow f \\
 C & & FC
 \end{array}$$

there exists a unique $\tilde{f}: C \rightarrow U_0A$ making the triangle commute.

Symmetrisation III

Proposition

For any leftist adjunction (F, U_0, ε) , U extends to a unique functor making ε natural.

Proof.

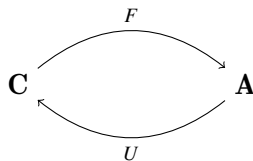
Similar to the rightist case.



Symmetrisation III

Corollary

Leftist adjunctions are in 1-1 correspondence with pairs



equipped with natural $\varepsilon: FU \rightarrow id_A$, such that

$$\begin{array}{ccc} U_0 A & FU_0 A & \xrightarrow{\varepsilon_A} A \\ \exists! \hat{f} \uparrow & F \hat{f} \uparrow & \nearrow f \\ C & FC & \end{array}$$

as before.

Symmetrisation III

Definition

We call triples (U, F, ε) *functorial leftist adjunctions*.

Symmetrisation IV

Corollary

Functorial leftist adjunctions (U, F, ε) are in 1-1 correspondence with balanced adjunctions.

Proof.

Similar to rightist case.



Symmetrisation V

Proposition

Given any adjunction the properties

$$\begin{array}{ccc}
 C & \xrightarrow{\eta_C} & UFC \\
 & \searrow f & \downarrow U\tilde{f} \\
 & & UA
 \end{array}
 \quad
 \begin{array}{ccc}
 FC & & \\
 & \downarrow \tilde{f} & \\
 & A &
 \end{array}
 \quad \text{and} \quad
 \begin{array}{ccc}
 UA & FUA & \xrightarrow{\varepsilon_A} A \\
 \uparrow \tilde{f} & \uparrow F\tilde{f} & \nearrow f \\
 C & FC &
 \end{array}$$

induce a bijection

$$\mathbf{C}(C, UA) \cong \mathbf{A}(FC, A)$$

which is a natural isomorphism

$$\begin{array}{ccccc}
 & \mathbf{C}^{op} \times U & \rightarrow & \mathbf{C}^{op} \times \mathbf{C} & \xrightarrow{\mathbf{C}(-1, -2)} \\
 & \nearrow & & \cong & \searrow \\
 \mathbf{C}^{op} \times \mathbf{A} & & & & \mathbf{Set}. \\
 & \searrow F^{op} \times \mathbf{A} & \rightarrow & \mathbf{A}^{op} \times \mathbf{A} & \xrightarrow{\mathbf{A}(-1, -2)}
 \end{array}$$

Preparatory exercises

1. Equip the product graph $\mathbf{C} \times \mathbf{D}$ with category structure, making it a product in \mathbf{Cat} .
2. A transformation α between functors $F, G: \mathbf{C} \times \mathbf{D} \rightarrow \mathbf{E}$ is natural iff it is natural in each component, i.e.,

$$\alpha_{-,D}: F(-, D) \rightarrow G(-, D) \quad \text{and} \quad \alpha_{C,-}: F(C, -) \rightarrow G(C, -)$$

are both natural.

3. A natural transformation $\alpha: F \rightarrow G: \mathbf{C} \rightarrow \mathbf{D}$ whose components $\alpha_C: FC \rightarrow GC$ are isomorphisms, is a natural isomorphism.

Naturality proof

$$\begin{array}{ccc}
 C \xrightarrow{f} UA & \vdash \longrightarrow & FC \xrightarrow{\tilde{f}} A \\
 \\
 \begin{array}{ccc}
 C & & A \\
 \uparrow u & & \downarrow v \\
 C' & & A'
 \end{array} & \begin{array}{c} \downarrow \\ \downarrow \end{array} & \begin{array}{ccc}
 \mathbf{C}(C, UA) & \xrightarrow{\cong} & \mathbf{A}(FC, A) \\
 \downarrow \mathbf{C}(u, Uv) & & \downarrow \mathbf{A}(Fu, v) \\
 \mathbf{C}(C', UA') & \xrightarrow{\cong} & \mathbf{A}(FC', A')
 \end{array} \\
 & & \begin{array}{c} \downarrow \end{array} \\
 & & FC' \xrightarrow{Fu} FC \xrightarrow{\tilde{f}} A \xrightarrow{v} A' \\
 & & \quad \quad \quad \parallel ? \\
 C' \xrightarrow{u} C \xrightarrow{f} UA \xrightarrow{Uv} UA' & \vdash \longrightarrow & U(\overbrace{v \circ f \circ u})
 \end{array}$$

It suffices to check that the candidate $v \circ \tilde{f} \circ Fu$ satisfies the universal property of $\overbrace{U(v) \circ f \circ u}$.

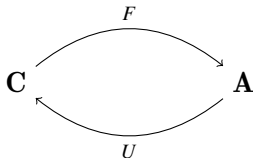
Naturality proof

$$\begin{array}{ccc}
 C' & \xrightarrow{\eta_{C'}} & UFC' \\
 \searrow u & & \downarrow UFu \\
 & C \xrightarrow{\eta_C} UFC & \\
 & \searrow f & \downarrow U\tilde{f} \\
 & UA = UA & \\
 & \searrow Uv & \downarrow Uv \\
 & UA' &
 \end{array}
 \qquad
 \begin{array}{c}
 FC' \\
 \downarrow Fu \\
 FC \\
 \downarrow \tilde{f} \\
 A \\
 \downarrow v \\
 A'
 \end{array}$$

Symmetrisation V

Corollary

(Rightist functorial) adjunctions are in 1-1 correspondence with pairs



equipped with a natural isomorphism

$$\mathbf{C}(C, UA) \cong \mathbf{A}(FC, A).$$

Symmetrisation V: proof

$$\begin{array}{lll}
 \mathbf{C}(C, UA) & \cong & \mathbf{A}(FC, A) \\
 \text{define: } \eta_C & \leftarrow & id_{FC} \ (A = FC) \\
 (C = UA) \ id_{UA} & \mapsto & \varepsilon_A
 \end{array}$$

$$\begin{array}{ccc}
 \text{then have: } C & \xrightarrow{\eta_C} & UFC \\
 & \searrow \tilde{f}' \quad \downarrow Uf' & \\
 & UA & \\
 C & & FC \\
 f \downarrow & \mapsto & Ff \downarrow \quad \searrow \tilde{f} \\
 UA & & FUA \xrightarrow{\varepsilon_A} A
 \end{array}$$

Symmetrisation V: proof

Indeed:

$$\begin{array}{ccccc}
 UA & \xlongequal{\quad} & UA & \dashv\!\!\!\dashv & FUA \xrightarrow{\varepsilon_A} A \\
 & \mathbf{C}(UA, UA) \xrightarrow[\cong]{} \mathbf{A}(FUA, A) & & & \\
 \downarrow & \mathbf{C}(f, UA) \downarrow & & \downarrow \mathbf{A}(Ff, A) & \downarrow \\
 & \mathbf{C}(C, UA) \xrightarrow[\cong]{} \mathbf{A}(Ff, A) & & & \\
 C \xrightarrow[f]{} UA & \dashv\!\!\!\dashv & FUA \xrightarrow{\varepsilon_A} A & & \\
 & & Ff \uparrow & \nearrow \tilde{f} & \\
 & & FC & &
 \end{array}$$

Symmetrisation V: proof

Indeed:

$$\begin{array}{ccccc}
 FC & \xlongequal{\quad} & FC & \xrightarrow{\quad} & C \xrightarrow{\eta_C} UFC \\
 & \mathbf{A}(FC, FC) \xrightarrow{\cong} \mathbf{C}(C, UFC) & & & \\
 \downarrow & \downarrow \mathbf{A}(FC, f') & \downarrow \mathbf{C}(C, Uf') & & \downarrow \\
 & \mathbf{A}(FC, A) \xrightarrow{\cong} \mathbf{C}(C, UA) & & & \\
 FC \xrightarrow{f'} A & \xrightarrow{\quad} & C & \begin{array}{l} \nearrow \eta_C \text{ (orange)} \\ \searrow \tilde{f}' \text{ (green)} \end{array} & \begin{array}{l} UFC \\ \downarrow Uf' \text{ (orange)} \\ UA \end{array}
 \end{array}$$

Symmetrisation V: proof

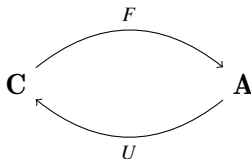
Need to check universal property of \widetilde{f} , for $f: C \rightarrow UA$.

- Commutation: $U(\widetilde{f}) \circ \eta_C = \widetilde{f} = f$.
- Uniqueness:
 - if $U(f') \circ \eta_C = f$,
 - i.e., $\widetilde{f'} = f$,
 - then apply $\widetilde{(-)}$ to get $f' = \widetilde{f}$.

Symmetrisation V

Definition

We call *hom-based adjunction pairs*



equipped with a natural isomorphism

$$\mathbf{C}(C, UA) \cong \mathbf{A}(FC, A).$$

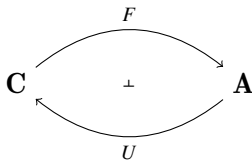
Symmetrisation summary

1-1 correspondences between:

Name	Data	Properties
Rightist adjunction	(U, F_0, η)	$f \mapsto \widetilde{f}$
Rightist functorial adjunction	(U, F, η)	$f \mapsto \widetilde{f}$
Balanced adjunction	$(U, F, \eta, \varepsilon)$	zig-zag " $\varepsilon \circ \eta = id$ "
Hom-based adjunction	(U, F, \sim)	natural iso
Leftist functorial adjunction	(F, U, ε)	$f' \mapsto \widetilde{f'}$
Leftist adjunction	(F, U_0, ε)	$f' \mapsto \widetilde{f'}$

Symmetrisation summary

Notation



- F is called the *left* adjoint.
- U is called the *right* adjoint.
- (\vdash symbol points to left adjoint)

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Interlude II: Kleisli

Fix monad $T: \mathbf{C} \rightarrow \mathbf{C}$.

We have seen $T\text{-Alg} \rightarrow \mathbf{C}$ yields an adjunction.

We saw:

- Unit $\eta_C^T: C \rightarrow TC$.
- Transpose $f: C \rightarrow A$ to $TC \xrightarrow{Tf} TA \xrightarrow{a} A$.

We haven't seen:

- Counit $\varepsilon_A^T: TA \rightarrow A$ given by algebra structure a .
- Transpose $f: TC \rightarrow A$ as $C \xrightarrow{\eta_C^T} TC \xrightarrow{f} A$.

Exercise

Algebra structures and forgetful functor \mathbf{U}^T are implicit here:
reformulate this slide in excruciating detail.

Another adjunction derived from any monad T

Notation

Let $\mathbf{F}^T : \mathbf{C} \rightarrow T\text{-Alg}$ denote the left adjoint.

Definition

Identity-on-objects / fully faithful factorisation

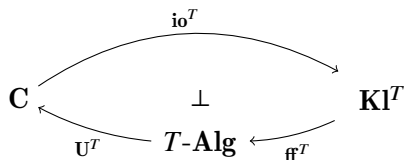
$$\mathbf{C} \xrightarrow{\text{io}_T} \mathbf{Kl}^T \xrightarrow{\text{ff}_T} T\text{-Alg}.$$

- Objects: those of \mathbf{C} .
- Morphism $C \rightarrow D$: algebra morphism $TC \rightarrow TD$.

Another adjunction derived from any monad T

Proposition

Adjunction



Proof

We prove the more general

Lemma

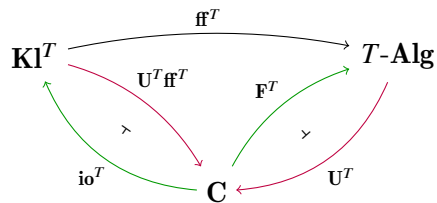
For any fully faithful F :

$$\begin{array}{ccc}
 \mathbf{C} & \xrightarrow{L} & \mathbf{K} & \xrightarrow{F} & \mathbf{A} \\
 & \searrow & \perp & \nearrow & \\
 & & U & &
 \end{array}
 \quad \Rightarrow \quad
 \begin{array}{ccc}
 & L & \\
 \mathbf{C} & \xrightarrow{\quad} & \mathbf{K} \\
 & \searrow & \nearrow \\
 & U & \mathbf{A} & \xleftarrow{F} & \mathbf{K}
 \end{array}$$

$$\begin{aligned}
 \mathbf{C}(C, UFK) &\cong \mathbf{A}(FLC, FK) \\
 &\cong \mathbf{K}(LC, K)
 \end{aligned}$$

(by adjunction)
(by full faithfulness).

Both adjunctions in one picture

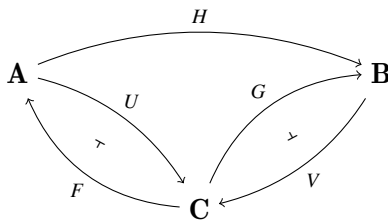


Remark, in passing

Definition (*Resolution of monad T*)

Adjunction $F \dashv U$ such that $UF = T$.

Form a category $\mathbf{Res}(T)$ with morphisms given by H making



commute.

Remark, in passing

Proposition

- $\mathbf{F}^T \dashv \mathbf{U}^T$ is terminal in $\mathbf{Res}(T)$.
- $\mathbf{io}^T \dashv \mathbf{U}^T \mathbf{ff}^T$ is initial in $\mathbf{Res}(T)$.

Back on track

By definition and adjunction, we have a bijection

$$\begin{aligned}
 \mathbf{Kl}^T(C, D) &= \mathbf{Kl}^T(\mathbf{io}^T C, \mathbf{io}^T D) \\
 &\cong T\text{-}\mathbf{Alg}(\mathbf{ff}^T \mathbf{io}^T C, \mathbf{ff}^T \mathbf{io}^T D) \\
 &= T\text{-}\mathbf{Alg}(\mathbf{F}^T C, \mathbf{F}^T D) \\
 &\cong \mathbf{C}(C, \mathbf{U}^T \mathbf{F}^T D) \\
 &\cong \mathbf{C}(C, TD) \\
 (f: TC \rightarrow TD) &\mapsto f \circ \eta_C^T.
 \end{aligned}$$

Back on track

Notation

We write \dashrightarrow for arrows in \mathbf{Kl}^T .

Proposition (Characterisation of the Kleisli cat)

Across the bijections $\mathbf{Kl}^T(C, D) \cong \mathbf{C}(C, TD)$:

- Identity $C \dashrightarrow C$ becomes $\eta_C^T: C \rightarrow TC$.

- Composition $C \xrightarrow{f} D \xrightarrow{g} E$ becomes

$$C \xrightarrow{f} TD \xrightarrow{Tg} TTE \xrightarrow{\mu_E^T} TE.$$

Remark

Probably the most frequently used presentation of \mathbf{Kl}^T .

Proof

Direct for identity. Here's composition:

$$\begin{array}{ccc}
 \mathbf{C}(C, TD) \times \mathbf{C}(D, TE) & C \xrightarrow{f} TD & D \xrightarrow{g} TE \\
 \downarrow \cong & TC \xrightarrow{\mu_D^T \circ Tf} TD & TD \xrightarrow{\mu_E^T \circ Tg} TE \\
 \mathbf{Kl}^T(C, D) \times \mathbf{Kl}^T(D, E) & & \\
 \downarrow \circ & TC \xrightarrow{\mu_D^T \circ Tf} TD \xrightarrow{\mu_E^T \circ Tg} TE & \\
 \mathbf{Kl}^T(C, E) & & \\
 \downarrow \cong & & \\
 \mathbf{C}(C, TE) & &
 \end{array}$$

$C \xrightarrow{\eta_C^T} TC \xrightarrow{Tf} TTD \xrightarrow{\mu_D^T} TD$
 $C \xrightarrow{f} TD$
 $TD \xrightarrow{\eta_{TD}^T} TTD$
 $TD \xrightarrow{Tg} TTE$
 $TD \xrightarrow{Tg} TE$
 $TTD \xrightarrow{\mu_D^T} TD$
 $TTE \xrightarrow{\mu_E^T} TE$

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Lawvere theories from monads

Let us revisit the syntactic category \mathbb{L}_Σ , and then generalise.

- Define monad Σ^* .
- Observe $\mathbb{L}_\Sigma \hookrightarrow (\mathbf{Kl}^{\Sigma^*})^{op}$ as the full subcategory $\mathbf{Kl}_\mathbb{F}^{\Sigma^*}$ spanned by finite cardinals.
- Generalise: for any monad T , $\mathbf{Kl}_\mathbb{F}^T$ is a Lawvere theory.

Main idea

Let $T: \mathbf{Set} \rightarrow \mathbf{Set}$ be any monad.

- $T(X)$ thought of as set of terms with free variables in X .
- $n \rightarrow T(m)$: n -tuples of terms with m free variables.
- Exactly the idea behind morphisms $m \rightarrow n$ in syntactic categories of shape \mathbb{L}_Σ .

Let us elaborate.

Free monads

Fix an algebraic signature Σ given by $a: O \rightarrow \mathbb{N}$.

Recall Σ -terms $\Sigma^*(X) = \{X \vdash_{\Sigma} e\}$:

$$\begin{array}{c} \text{VAR} \\ \hline X \vdash_{\Sigma} [x] \end{array} (x \in X) \qquad \begin{array}{c} \text{OP} \\ \hline X \vdash_{\Sigma} e_1 \quad \dots \quad X \vdash_{\Sigma} e_p \\ \hline X \vdash_{\Sigma} o(e_1, \dots, e_p) \end{array} (a(o) = p)$$

- By definition:

$$\begin{aligned} \mathbb{L}_{\Sigma}(m, n) &= \Sigma^*(m)^n \\ &= \mathbf{Set}(n, \Sigma^*(m)) \\ &\cong ? \mathbf{Kl}^{\Sigma^*}(n, m). \end{aligned}$$

Need Σ^* to be a monad.

Functor structure of Σ^*

Σ^* extends to a functor $\mathbf{Set} \rightarrow \mathbf{Set}$.

For any $f: X \rightarrow Y$, let

$$\begin{aligned}\Sigma^*(f): \Sigma^*(X) &\rightarrow \Sigma^*(Y) \\ [x] &\mapsto [f(x)] \\ o(e_1, \dots, e_p) &\mapsto o(\Sigma^*(f)(e_1), \dots, \Sigma^*(f)(e_p)).\end{aligned}$$

“Rename variables according to f .”

Monad structure of Σ^*

- Unit $[-]: X \rightarrow \Sigma^*(X)$
- Multiplication $\Sigma^*(\Sigma^*(X)) \rightarrow \Sigma^*(X)$.
 - Elements of $\Sigma^*(\Sigma^*(X))$: brackets contain terms.
 - Multiplication: remove outer brackets.
 - Example: $o(o([o([x], [y])], [[x]]), [o([y], [y])])$.
- Monad equations ($T = \Sigma^*$) reminder.

$$\begin{array}{ccc}
 T(X) & \xrightarrow{\eta_{T(X)}} T(T(X)) & \xleftarrow{T(\eta_X)} T(X) \\
 & \searrow \mu_X & \nearrow \\
 & T(X) &
 \end{array}
 \qquad
 \begin{array}{ccc}
 T(T(T(X))) & \xrightarrow{T(\mu_X)} T(T(X)) \\
 \mu_{T(X)} \downarrow & & \downarrow \mu_X \\
 T(T(X)) & \xrightarrow{\mu_X} T(X)
 \end{array}$$

Exercise

Check them (not necessarily too formally).

Monad structure of Σ^*

$$\begin{array}{ccc}
 T(X) & \xrightarrow{\eta_{T(X)}} T(T(X)) & \xleftarrow{T(\eta_X)} T(X) \\
 & \downarrow \mu_X & \\
 & T(X) &
 \end{array}
 \qquad
 \begin{array}{ccc}
 T(T(T(X))) & \xrightarrow{T(\mu_X)} & T(T(X)) \\
 \mu_{T(X)} \downarrow & & \downarrow \mu_X \\
 T(T(X)) & \xrightarrow{\mu_X} & T(X)
 \end{array}$$

- Remove outer brackets in $[e]$ yields e .
- Replace each $[x]$ with $[[x]]$ in e , then remove outer brackets yields e .
- Given term with three bracket layers:
 - remove outer, then middle, and
 - remove middle, then outer

agree.

Syntactic vs Kleisli

Definition

Let $\mathbf{Kl}_{\mathbb{F}}^{\Sigma^*} \hookrightarrow \mathbf{Kl}^{\Sigma^*}$ denote the full subcategory spanned by finite cardinals.

Proposition

$$\mathbb{L}_{\Sigma} \cong (\mathbf{Kl}_{\mathbb{F}}^{\Sigma^*})^{op}.$$

“Syntactic category = op-Kleisli restricted to \mathbb{F} .”

Syntactic vs Kleisli

Proposition

$$\mathbb{L}_\Sigma \cong (\mathbf{Kl}_\mathbb{F}^{\Sigma^*})^{op}.$$

Proof.

We have proved the graphs agree.

- Identities: $\eta_n: n \rightarrow \Sigma^*(n)$ does correspond to $\langle [1], \dots, [n] \rangle$.
 - Composition $m \xrightarrow{f} \Sigma^*(n), n \xrightarrow{g} \Sigma^*(p)$.
 - By substitution in \mathbb{L}_Σ .
 - In the Kleisli, j th term is
 - $f(j)$,
 - with each $[i]$ replaced with $g(i)$, i.e., ...
-

Generalisation

Proposition

For any monad $T: \mathbf{Set} \rightarrow \mathbf{Set}$, $(\mathbf{Kl}_{\mathbb{F}}^T)^{op}$ is a Lawvere theory.

Proof.

- Small: \checkmark .
- Skeletal: \checkmark .
- Finite products:
 - \mathbb{F} has finite coproducts and
 - $\mathbb{F} \hookrightarrow \mathbf{Kl}^T$ preserves them (exercise!);
 - so does $\mathbb{F} \hookrightarrow \mathbf{Kl}_{\mathbb{F}}^T$ by full faithfulness,
 - hence $\mathbf{Kl}_{\mathbb{F}}^T$ has finite coproducts.
- Power generation: every n is $\underbrace{1 + \dots + 1}_{n \text{ times}}$. □

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Monads from Lawvere theories

Let us now sketch the other direction:

monads \rightarrow Lawvere theories

saving functoriality of the correspondence for later.

Starting point

Let us fix a Lawvere theory \mathbb{L} .

Idea:

$$\begin{aligned}\mathbb{F} &\rightarrow \mathbf{Set} \\ n &\mapsto \mathbb{L}(n, 1).\end{aligned}$$

How to extend this to arbitrary sets?

A first extension

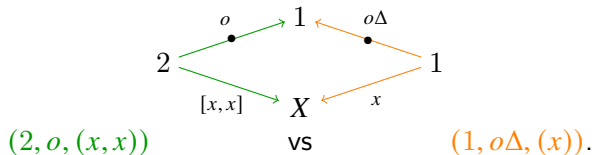
Definition

$$\mathbf{T}_{\mathbb{L}}^0(X) = \coprod_{n \in \mathbb{F}} \mathbb{L}(n, 1) \times X^n.$$

“A term with interpretation of variables in X ”.

Problem

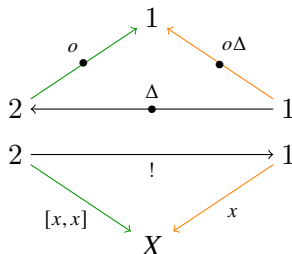
Too fine: two distinct ways of representing $o(x, x)$.



(Here, $\Delta: 1 \multimap 2$ is $\langle id_1, id_1 \rangle$.)

Solution: quotient out

In the example:

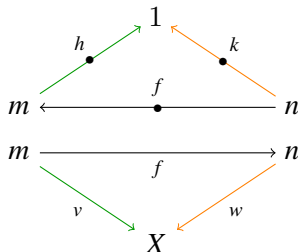


Solution: quotient out

In general, let

$$(m, h, v) \sim (n, k, w)$$

for any $f: m \rightarrow n$ making the following commute.



Otherwise said

For all, $X \xleftarrow{w} n \xleftarrow{f} m \xrightarrow{h} 1$, $(m, h, w f) \sim (n, h f, w)$.

Your first coend

Definition

Let $\mathbf{T}_{\mathbb{L}}(X) = (\coprod_n \mathbb{L}(n, 1) \times X^n) / \sim$.

Remark

- *Standard notation $\int^n \mathbb{L}(n, 1) \times X^n$.*
- *Called a coend.*
- *Satisfies universal property.*

$$\begin{array}{ccc}
 \mathbb{F} & \xrightarrow{\quad} & \mathbf{Set} \\
 \searrow & \xRightarrow{\lambda_{\mathbb{L}}} & \nearrow \\
 \mathbb{L}(-, 1) & & \mathbf{T}_{\mathbb{L}} \\
 & \searrow & \swarrow \\
 & \mathbf{Set} &
 \end{array}$$

Next goal

Show that \mathbf{T}_{\perp} is indeed a monad.

Without all technical detail.

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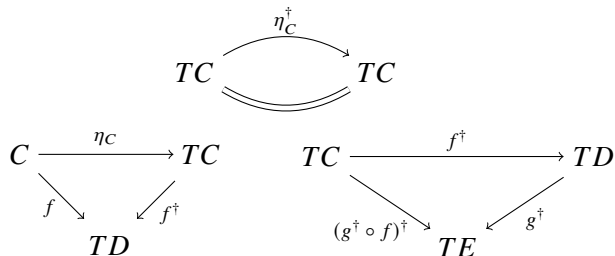
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First step: Kleisli presentation of monads

Definition (*hom-based monad on category \mathbf{C}*)

- Object assignment $T: \mathbf{ob}(\mathbf{C}) \rightarrow \mathbf{ob}(\mathbf{C})$.
- Unit $\eta_C: C \rightarrow TC$.
- Kleisli lifting: $\mathbf{C}(C, TD) \rightarrow \mathbf{C}(TC, TD)$.
- Axioms:



Monads to hom-based monads

Proposition

Every monad T yields a hom-based monad with

- obvious object assignment $T: \mathbf{ob}(\mathbf{C}) \rightarrow \mathbf{ob}(\mathbf{C})$,
- obvious unit $\eta_C^T: C \rightarrow TC$,
- Kleisli lifting $\mathbf{C}(C, TD) \rightarrow \mathbf{C}(TC, TD)$

$$C \xrightarrow{f} TD \mapsto TC \xrightarrow{Tf} TTD \xrightarrow{\mu_D^T} TD.$$

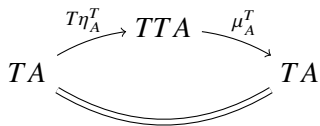
Exercise: check the axioms

$$TC \begin{array}{c} \xrightarrow{\eta_C^\dagger} \\ \xleftarrow{\quad} \end{array} TC$$

$$\begin{array}{ccc} C & \xrightarrow{\eta_C} & TC \\ & \searrow f & \swarrow f^\dagger \\ & & TD \end{array}$$

$$\begin{array}{ccc} TC & \xrightarrow{f^\dagger} & TD \\ & \searrow (g^\dagger \circ f)^\dagger & \swarrow g^\dagger \\ & & TE \end{array}$$

Axiom 1



A commutative triangle diagram illustrating Axiom 1. The diagram consists of three nodes: TA on the left, TTA in the center, and TA on the right. An arrow labeled $T\eta_A^T$ points from the left TA to TTA . An arrow labeled μ_A^T points from TTA to the right TA . A curved double arrow (representing the identity) connects the left TA directly to the right TA , completing the triangle.

Axiom 2

$$\begin{array}{ccc}
 C & \xrightarrow{\eta_C^T} & TC \\
 f \downarrow & & \downarrow Tf \\
 TD & \xrightarrow{\eta_{TD}^T} & TTD \\
 & \searrow & \downarrow \mu_D^T \\
 & & TD
 \end{array}$$

Axiom 3

$$\begin{array}{ccccc}
 TC & \xrightarrow{Tf} & TTD & \xrightarrow{\mu_D^T} & TD \\
 \downarrow T(g^\dagger \circ f) & & \downarrow TTg & & \downarrow Tg \\
 & & TTTE & \xrightarrow{\mu_{TE}^T} & TTE \\
 & \swarrow T(\mu_E^T) & & & \downarrow \mu_E^T \\
 TTE & \xrightarrow{\mu_E^T} & & & TE
 \end{array}$$

Converse

Proposition

Every hom-based monad T yields a proper monad with

- obvious object assignment $T: \mathbf{ob}(\mathbf{C}) \rightarrow \mathbf{ob}(\mathbf{C})$,
- morphism assignment $\mathbf{C}(C, D) \rightarrow \mathbf{C}(TC, TD)$
 $C \xrightarrow{f} D \mapsto (\eta_D \circ f)^\dagger$,
- obvious unit $\eta_C^T: C \rightarrow TC$,
- multiplication μ_C given by id_{TC}^\dagger .

Exercise: check the axioms

$$\begin{array}{ccc}
 T(X) & \xrightarrow{\eta_{T(X)}} & T(T(X)) \xleftarrow{T(\eta_X)} T(X) \\
 & \searrow & \downarrow \mu_X \\
 & & T(X)
 \end{array}
 \qquad
 \begin{array}{ccc}
 T(T(T(X))) & \xrightarrow{T(\mu_X)} & T(T(X)) \\
 \mu_{T(X)} \downarrow & & \downarrow \mu_X \\
 T(T(X)) & \xrightarrow{\mu_X} & T(X)
 \end{array}$$

Axiom 1

$$\begin{array}{ccccc}
 T(C) & \xrightarrow{\eta_{T(C)}} & T(T(C)) & \xleftarrow{T(\eta_C)} & T(C) \\
 & \searrow & \downarrow id_{TC}^\dagger & \swarrow & \\
 & & T(C) & &
 \end{array}$$

Axiom 2

A commutative diagram illustrating Axiom 2. The diagram consists of two nodes, $T(T(C))$ at the top left and $T(C)$ at the bottom right. A vertical arrow labeled id_{TC}^\dagger points from $T(T(C))$ down to $T(C)$. A horizontal arrow labeled $(\eta_{TC} \circ \eta_C)^\dagger$ points from $T(C)$ left to $T(T(C))$. A curved arrow labeled $(id_{TC}^\dagger \circ \eta_{TC} \circ \eta_C)^\dagger$ points from $T(C)$ up and left to $T(T(C))$. A curved arrow labeled η_C^\dagger points from $T(C)$ up and left to $T(T(C))$. A double-lined curved arrow points from $T(C)$ down and left to $T(T(C))$.

Axiom 3

$$\begin{array}{ccc}
 T(T(T(X))) & \xrightarrow{(\eta_{TX} \circ id_{TX}^\dagger)^\dagger} & T(T(X)) \\
 \downarrow id_{TTX}^\dagger & \searrow (id_{TX}^\dagger \circ \eta_{TX} \circ id_{TX}^\dagger)^\dagger & \downarrow id_{TX}^\dagger \\
 & \searrow id_{TX}^{\dagger\dagger} & \\
 & \searrow (id_{TX}^\dagger \circ id_{TTX})^\dagger & \\
 T(T(X)) & \xrightarrow{id_{TX}^\dagger} & T(X)
 \end{array}$$

Outline

③ Linton's theorem

- Introduction
- Syntactic categories
- Lawvere theories and their models
- Interlude I: adjunctions
- Interlude II: Kleisli category
- Lawvere theories from monads
- Monads from Lawvere theories
- Interlude: hom-based monads
- **Lawvere theories to monads, continued**
- Functoriality

④ Grothendieck's nerve theorem and the Segal condition

⑤ Sketching a general correspondence between monads and theories

Next goal

Show that \mathbf{T}_{\perp} is indeed a **hom-based** monad.

Without all technical detail.

Kleisli lifting

$$\mathbf{Set}(X, \mathbf{T}_{\perp} Y) \rightarrow \mathbf{Set}(\mathbf{T}_{\perp} X, \mathbf{T}_{\perp} Y)$$

- Let $\sigma: X \rightarrow \mathbf{T}_{\perp} Y$ and $e \in \mathbf{T}_{\perp} X$.
- Pick representative $X \xleftarrow{v} n \xrightarrow{h} 1$ for e .
- Only the composite $n \xrightarrow{v} X \xrightarrow{\sigma} \mathbf{T}_{\perp} Y$ will matter.
- Pick representative of e'_i for each $\sigma v(i)$, $i \in n$, say

$$Y \xleftarrow{v'_i} p_i \xrightarrow{h'_i} 1.$$

- Return

$$Y \xleftarrow{[v'_i]_i} \sum_i p_i \xrightarrow{\langle h'_i \circ \pi_i \rangle} n \xrightarrow{h} 1.$$

Detail omitted

This forms a hom-based monad.

Upshot: unitality and associativity boil down to unitality and associativity of composition in \mathbb{L} .

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Category of monads

Definition ($\mathbf{Mnd}(\mathbf{C})$)

- Objects: monads on \mathbf{C} .
- Morphism $(T, \mu, \eta) \rightarrow (T', \mu', \eta')$: any $\alpha: T \rightarrow T'$ making the following commute.

$$\begin{array}{ccc}
 & id_{\mathbf{C}} & \\
 \eta \swarrow & & \searrow \eta' \\
 T & \xrightarrow{\alpha} & T'
 \end{array}$$

$$\begin{array}{ccc}
 TT & \xrightarrow{\alpha \circ_0 \alpha} & T'T' \\
 \mu \downarrow & & \downarrow \mu' \\
 T & \xrightarrow{\alpha} & T'
 \end{array}$$

Functorial action $\mathbf{Mnd}(\mathbf{Set}) \rightarrow \mathbf{Law}$

Proposition

Monad morphism $\alpha: (T, \mu, \eta) \rightarrow (T', \mu', \eta')$

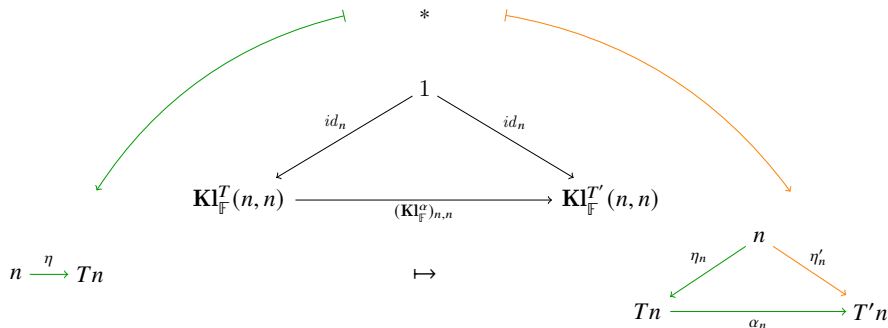
\leadsto Lawvere theory morphism

$$\mathbf{Kl}_{\mathbb{F}}^{\alpha}: \mathbf{Kl}_{\mathbb{F}}^T \rightarrow \mathbf{Kl}_{\mathbb{F}}^{T'}$$

$$n \mapsto n$$

$$(n \xrightarrow{f} Tp) \mapsto (n \xrightarrow{f} Tp \xrightarrow{\alpha_p} T'p).$$

Proof: functoriality of $\mathbf{Kl}_{\mathbb{F}}^{\alpha} : \mathbf{Kl}_{\mathbb{F}}^T \rightarrow \mathbf{Kl}_{\mathbb{F}}^{T'}$



Proof: functoriality of $\mathbf{Kl}_{\mathbb{F}}^{\alpha} : \mathbf{Kl}_{\mathbb{F}}^T \rightarrow \mathbf{Kl}_{\mathbb{F}}^{T'}$

$$\begin{array}{ccc}
 \begin{array}{c} m \xrightarrow{f} Tn \\ n \xrightarrow{g} Tp \\ \mathbf{Kl}_{\mathbb{F}}^T(m, n) \times \mathbf{Kl}_{\mathbb{F}}^T(n, p) \end{array} & \mapsto & \begin{array}{c} m \xrightarrow{f} Tn \xrightarrow{\alpha_n} T'n \\ n \xrightarrow{g} Tp \xrightarrow{\alpha_p} T'p \end{array} \\
 \downarrow & & \downarrow \\
 \mathbf{Kl}_{\mathbb{F}}^T(m, n) \times \mathbf{Kl}_{\mathbb{F}}^T(n, p) & \xrightarrow{(\mathbf{Kl}_{\mathbb{F}}^{\alpha})_{m,n} \times (\mathbf{Kl}_{\mathbb{F}}^{\alpha})_{n,p}} & \mathbf{Kl}_{\mathbb{F}}^{T'}(m, n) \times \mathbf{Kl}_{\mathbb{F}}^{T'}(n, p) \\
 \downarrow \circ & & \downarrow \circ' \\
 \mathbf{Kl}_{\mathbb{F}}^T(m, p) & \xrightarrow{(\mathbf{Kl}_{\mathbb{F}}^{\alpha})_{m,p}} & \mathbf{Kl}_{\mathbb{F}}^{T'}(m, p) \\
 \downarrow & & \downarrow \\
 m \xrightarrow{f} Tn \xrightarrow{Tg} TTp \xrightarrow{\mu} Tp & \mapsto & \begin{array}{c} m \xrightarrow{f} Tn \xrightarrow{\alpha_n} T'n \\ f \downarrow \parallel \quad \downarrow T'g \\ Tn \quad \nearrow \alpha_{Tp} \quad T'Tp \\ Tg \downarrow \quad \downarrow T'\alpha_p \\ TTp \xrightarrow{(\alpha \circ_0 \alpha)_p} T'T'p \\ \mu \downarrow \quad \downarrow \mu'_p \\ Tp \xrightarrow{\alpha_p} T'p \end{array}
 \end{array}$$

Proof functoriality of $\mathbf{Kl}_{\mathbb{F}}$

- We saw: each $\mathbf{Kl}_{\mathbb{F}}^{\alpha}: \mathbf{Kl}_{\mathbb{F}}^T \rightarrow \mathbf{Kl}_{\mathbb{F}}^{T'}$ is a functor.
- Now is $\mathbf{Kl}_{\mathbb{F}}: \mathbf{Mnd}(\mathbf{Set}) \rightarrow \mathbf{Law}$ functorial?
- I.e., given

$$T \xrightarrow{\alpha} T' \xrightarrow{\beta} T'',$$

does the following commute?

$$\begin{array}{ccc}
 \mathbf{Kl}_{\mathbb{F}}^T & \xrightarrow{\mathbf{Kl}_{\mathbb{F}}^{\alpha}} & \mathbf{Kl}_{\mathbb{F}}^{T'} \\
 & \searrow \mathbf{Kl}_{\mathbb{F}}^{\beta \circ \alpha} & \downarrow \mathbf{Kl}_{\mathbb{F}}^{\beta} \\
 & & \mathbf{Kl}_{\mathbb{F}}^{T''}
 \end{array}$$

Proof functoriality of $\mathbf{Kl}_{\mathbb{F}}$

Easy:

$$\begin{array}{ccc}
 n \xrightarrow{f} Tp & \longmapsto & n \xrightarrow{f} Tp \xrightarrow{\alpha_p} T'p \\
 & & \downarrow \\
 & \begin{array}{ccc}
 \mathbf{Kl}_{\mathbb{F}}^T & \xrightarrow{\mathbf{Kl}_{\mathbb{F}}^{\alpha}} & \mathbf{Kl}_{\mathbb{F}}^{T'} \\
 & \searrow \mathbf{Kl}_{\mathbb{F}}^{\beta \circ \alpha} & \downarrow \mathbf{Kl}_{\mathbb{F}}^{\beta} \\
 & & \mathbf{Kl}_{\mathbb{F}}^{T''}
 \end{array} & & \\
 & \swarrow & & & \\
 & & n \xrightarrow{f} Tp \xrightarrow{\alpha_p} T'p & & \\
 & & \searrow (\beta \circ \alpha)_p & \downarrow \beta_p & \\
 & & & & T''p
 \end{array}$$

Functorial action $\mathbf{Law} \rightarrow \mathbf{Mnd}(\mathbf{Set})$

Let $F: \mathbb{K} \rightarrow \mathbb{L}$ in \mathbf{Law} .

- Induced natural transformation

$$\begin{aligned} \mathbf{T}_{\mathbb{K}}(X) &\rightarrow \mathbf{T}_{\mathbb{L}}(X) \\ \int^n \mathbb{K}(n, 1) \times X^n &\rightarrow \int^n \mathbb{L}(n, 1) \times X^n \\ [n, h, v] &\mapsto [n, F(h), v]. \end{aligned}$$

- Naturality: exercise!

Naturality

$$\begin{array}{ccc}
 X \xleftarrow{v} n \xrightarrow{\bullet}^h 1 & \xlongequal{\quad} & X \xleftarrow{v} n \xrightarrow{\bullet}^{Fh} 1 \\
 \\
 \begin{array}{ccc}
 \int^n \mathbb{K}(n, 1) \times X^n & \xrightarrow{\int^n F_{n,1} \times X^n} & \int^n \mathbb{L}(n, 1) \times X^n \\
 \downarrow \int^n \mathbb{K}(n, 1) \times f^n & & \downarrow \int^n \mathbb{L}(n, 1) \times f^n \\
 \int^n \mathbb{K}(n, 1) \times Y^n & \xrightarrow{\int^n F_{n,1} \times Y^n} & \int^n \mathbb{L}(n, 1) \times Y^n
 \end{array} & & \\
 \\
 Y \xleftarrow{f} X \xleftarrow{v} n \xrightarrow{\bullet}^h 1 & \xlongequal{\quad} & Y \xleftarrow{f} X \xleftarrow{v} n \xrightarrow{\bullet}^{Fh} 1
 \end{array}$$

Functoriality of $T: \mathbf{Law} \rightarrow \mathbf{Mnd}(\mathbf{Set})$

Given $\mathbb{H} \xrightarrow{F} \mathbb{K} \xrightarrow{G} \mathbb{L}$, does the following commute?

$$\begin{array}{ccc}
 \mathbf{T}_{\mathbb{H}} & \xrightarrow{\mathbf{T}_F} & \mathbf{T}_{\mathbb{K}} \\
 & \searrow \mathbf{T}_{GF} & \downarrow \mathbf{T}_G \\
 & & \mathbf{T}_{\mathbb{L}}
 \end{array}$$

Functoriality of $T: \mathbf{Law} \rightarrow \mathbf{Mnd}(\mathbf{Set})$

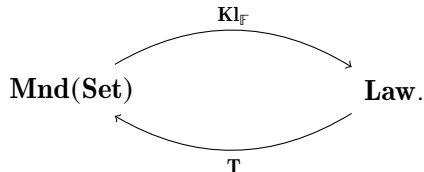
Given $\mathbb{H} \xrightarrow{F} \mathbb{K} \xrightarrow{G} \mathbb{L}$, does the following commute?

$$X \xleftarrow{\sigma} n \xrightarrow{\bullet h} 1 \quad \longmapsto \quad X \xleftarrow{\sigma} n \xrightarrow{\bullet Fh} 1$$

$$\begin{array}{ccc}
\mathbf{T}_{\mathbb{H}}(X) & \xrightarrow{(\mathbf{T}_F)_X} & \mathbf{T}_{\mathbb{K}}(X) \\
& \searrow & \downarrow (\mathbf{T}_G)_X \\
& & \mathbf{T}_{\mathbb{L}}(X)
\end{array}$$

Summary

We have functors:



Do they form an equivalence?

No. Can anyone guess why?

The working side

Lemma

For any $\mathbb{L} \in \mathbf{Law}$ and $n \in \mathbb{N}$, the map

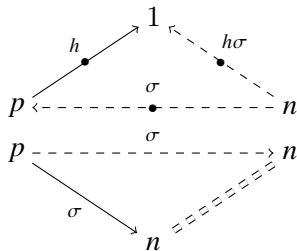
$$\begin{aligned} \mathbb{L}(n, 1) &\rightarrow \mathbf{T}_{\mathbb{L}}(n) \\ (n \xrightarrow{h} 1) &\mapsto (n \xleftarrow{id_n} n \xrightarrow{h} 1) \end{aligned}$$

is bijective.

The working side

Proof.

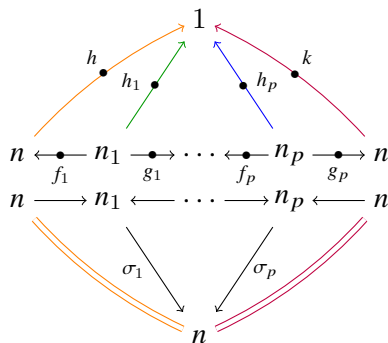
Surjectivity.



The working side

Proof.

Injectivity. Not so easy!



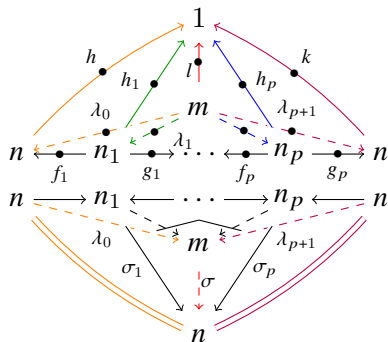
Consider any $(h, id_n) \sim (k, id_n)$.
Need $h = k$.



The working side

Proof.

Finite zig-zag \leadsto take colimit in **Set**: in \mathbb{F} .



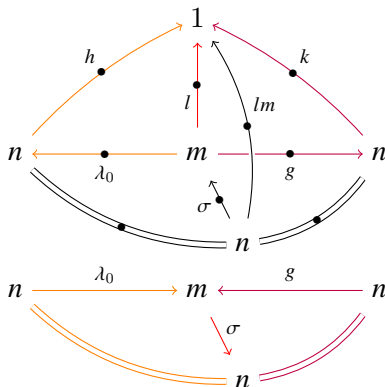
$$\begin{aligned}
 l &:= h\lambda_0 &= h(f_1\lambda_1) \\
 &&= h_1\lambda_1 \\
 &&\dots \\
 &&= h_p\lambda_p \\
 &&= (kg_p)\lambda_p \\
 &&= k\lambda_{p+1}.
 \end{aligned}$$

□

The working side

Proof.

Injectivity.



so $h = k$ as claimed.



The working side

We have proved $\mathbb{L}(n, 1) \rightarrow \mathbf{T}_{\mathbb{L}}(n)$ bijective.

Corollary

$$\mathbb{L} \cong \mathbf{Kl}_{\mathbb{F}}^{\mathbf{T}_{\mathbb{L}}}.$$

Proof.

$$\begin{aligned} \mathbb{L}(n, p) &\cong \mathbb{L}(n, 1)^p \\ &\cong \mathbf{T}_{\mathbb{L}}(n)^p && \text{(by the lemma)} \\ &= \mathbf{Kl}_{\mathbb{F}}^{\mathbf{T}_{\mathbb{L}}}(p, n). \end{aligned}$$

□

The working side

We have proved $\mathbb{L}(n, 1) \rightarrow \mathbf{T}_{\mathbb{L}}(n)$ bijective.

Corollary

T: **Law** \rightarrow **Mnd**(**Set**) *is fully faithful.*

Proof sketch.

- Consider any $\alpha: \mathbf{T}_{\mathbb{K}} \rightarrow \mathbf{T}_{\mathbb{L}}$ in **Mnd**(**Set**).
- Let $\bar{\alpha}_n$ denote:

$$\mathbb{K}(n, 1) \xrightarrow{\cong} \mathbf{T}_{\mathbb{K}}(n) \xrightarrow{\alpha_n} \mathbf{T}_{\mathbb{L}}(n) \xrightarrow{\cong} \mathbb{L}(n, 1)$$

- Then define $\alpha'_{p,q}$ by

$$\mathbb{K}(n, p) \xrightarrow{\cong} \mathbb{K}(n, 1)^p \xrightarrow{\cong} \mathbf{T}_{\mathbb{K}}(n)^p \xrightarrow{\alpha_n^p} \mathbf{T}_{\mathbb{L}}(n)^p \xrightarrow{\cong} \mathbb{L}(n, 1)^p \xrightarrow{\cong} \mathbb{L}(n, p)$$

- Show this defines a unique antecedent of α . □

The glitch

- Start from $F(X) = X^{\mathbb{N}}$.
- Consider $T = F^*$: $T(X)$ = set of finite-depth, \mathbb{N} -branching trees with leaves in X .
- $\mathbf{Kl}_{\mathbb{F}}^T(n, 1)$: same, with leaves in n .
- $\mathbf{T}_{\mathbf{Kl}_{\mathbb{F}}^T}(X) = \int^n T(n) \times X^n \hookrightarrow T(X)$:

trees with finitely many distinct leaves!

The glitch

T is not **finitary**.

Definition

A functor $F: \mathbf{Set} \rightarrow \mathbf{Set}$ is **finitary** if any $1 \rightarrow T(X)$ factors as

$$1 \xrightarrow{\xi} T(n) \xrightarrow{T(\sigma)} T(X).$$

(Counter?)example

Powerset functor \mathcal{P} .

The theorem

Definition

Let $\mathbf{Mnd}_f(\mathbf{Set}) \hookrightarrow \mathbf{Mnd}(\mathbf{Set})$ denote the full subcategory on finitary monads.

Recall:

$$\begin{array}{ll}
 \mathbf{Law} & \rightarrow \mathbf{CAT/Set} \\
 \mathbb{L} & \mapsto \left(\begin{array}{ccc} \mathbf{Mod}(\mathbb{L}) & \xrightarrow{U^{\mathbb{L}}} & \mathbf{Set} \\ M & \mapsto & M(1) \end{array} \right) \\
 \mathbf{Mnd}(\mathbf{Set}) & \rightarrow \mathbf{CAT/Set} \\
 T & \mapsto \left(\begin{array}{ccc} T\text{-Alg} & \xrightarrow{U^T} & \mathbf{Set} \\ (TA \xrightarrow{\rho} A) & \mapsto & A \end{array} \right)
 \end{array}$$

The theorem

Theorem

We have

$$\begin{array}{ccc}
 \mathbf{Law} & \xrightarrow{\cong} & \mathbf{Mnd}_f(\mathbf{Set}) \\
 & \searrow \quad \swarrow & \\
 & \mathbf{CAT/Set} &
 \end{array}$$

Only part not covered yet: semantics preservation.

A pullback in CAT

Let $\mathbf{i}_{\mathbb{F}}^*(X)(n) = \mathbf{Set}(n, X) = X^n$.

$$\begin{array}{ccc}
 \mathbf{P}_{\mathbb{L}} & \xrightarrow{\mathbf{q}_{\mathbb{L}}} & [\mathbb{L}, \mathbf{Set}] \\
 \downarrow \mathbf{p}_{\mathbb{L}} & \lrcorner & \downarrow \\
 \mathbf{Set} & \xrightarrow{\mathbf{i}_{\mathbb{F}}^*} & [\mathbb{F}^{op}, \mathbf{Set}]
 \end{array}$$

Object of $\mathbf{P}_{\mathbb{L}}$: set X , together with

- $X^h: X^n \rightarrow X^p$ for all $h: n \twoheadrightarrow p$ in \mathbb{L} ,
- functorially,
- agrees with restriction on \mathbb{F}^{op} .

Morphism $X \rightarrow Y$:

- map $f: X \rightarrow Y$ with

$$\begin{array}{ccc}
 X^n & \xrightarrow{f^n} & Y^n \\
 X^h \downarrow & & \downarrow Y^h \\
 X^p & \xrightarrow{f^p} & Y^p
 \end{array}$$

First step

Lemma

We have $\mathbf{P}_{\mathbb{L}} \simeq [\mathbb{L}, \mathbf{Set}]_{\text{fp}}$ over sets.

Proof of $\mathbf{P}_{\mathbb{L}} \simeq [\mathbb{L}, \mathbf{Set}]_{\text{fp}}$

Object: set X , together with

- $X^h: X^n \rightarrow X^p$ for all $h: n \multimap p$,
- functorially,
- agrees with restriction on \mathbb{F}^{op} .

Proof of $\mathbf{P}_{\mathbb{L}} \simeq [\mathbb{L}, \mathbf{Set}]_{\text{fp}}$

Object: set X , together with

- $X^h: X^n \rightarrow X^p$ for all $h: n \twoheadrightarrow p$,
- functorially,
- agrees with restriction on \mathbb{F}^{op} .
- \rightsquigarrow preserves projections, hence products.

Proof of $\mathbf{P}_{\mathbb{L}} \simeq [\mathbb{L}, \mathbf{Set}]_{\text{fp}}$

Object: set X , together with

- $X^h: X^n \rightarrow X^p$ for all $h: n \twoheadrightarrow p$,
- functorially,
- agrees with restriction on \mathbb{F}^{op} .
- \leadsto preserves projections, hence products.

\leadsto object = strict model of \mathbb{L} , i.e., $M(n) = M(1)^n$.

Proof of $\mathbf{P}_{\mathbb{L}} \simeq [\mathbb{L}, \mathbf{Set}]_{\text{fp}}$

We obtain a functor $\mathbf{P}_{\mathbb{L}} \rightarrow [\mathbb{L}, \mathbf{Set}]_{\text{fp}}$.

- Objects embed as **strict** models.
- Morphisms f yield transformations $\alpha_n := f^n: X^n \rightarrow Y^n$.

Now:

- Surjective on objects.
- Faithful.
- Full?

Proof of $\mathbf{P}_{\mathbb{L}} \simeq [\mathbb{L}, \mathbf{Set}]_{\text{fp}}$: fullness

For any natural transformation $\alpha: M \rightarrow N$ between models, taking $h = \pi_i$, $i \in n$:

$$\begin{array}{ccc} X^n & \xrightarrow{\alpha_n} & Y^n \\ \pi_i \downarrow & & \downarrow \pi_i \\ X & \xrightarrow{\alpha_1} & Y \end{array}$$

Taking $f = \alpha_1$, we have $\alpha_n = f^n$.

Second step

Lemma

We have $\mathbf{P}_{\mathbb{L}} \simeq \mathbf{T}_{\mathbb{L}}\text{-Alg}$ over sets.

Proof sketch of $\mathbf{P}_{\mathbb{L}} \simeq \mathbf{T}_{\mathbb{L}}\text{-Alg}$

Given set X with suitable actions $X^h: X^n \rightarrow X^p$.

$\mathbf{T}_{\mathbb{L}}$ -algebra structure:

- given any $[h, \sigma] \in \mathbf{T}_{\mathbb{L}}(X)$, as in

$$X \xleftarrow{\sigma} n \xrightarrow{h \bullet} 1,$$

- return $1 \xrightarrow{\ulcorner \sigma \urcorner} X^n \xrightarrow{X^h} X$.

Omitted: check of monad algebra laws.

Conclusion

We have shown

$$\mathbf{Mod}(\mathbb{L}) \simeq \mathbf{P}_{\mathbb{L}} \cong \mathbf{T}_{\mathbb{L}}\text{-Alg}$$

over sets, as desired, hence:

Theorem

We have

$$\begin{array}{ccc} \mathbf{Law} & \xrightarrow{\simeq} & \mathbf{Mnd}_f(\mathbf{Set}) \\ & \searrow \quad \swarrow & \\ & \mathbf{CAT}/\mathbf{Set} & \end{array}$$

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Part III

Technical bases

Outline

⑥ Monadicity

⑦ Locally presentable categories

Outline

⑥ Monadicity

⑦ Locally presentable categories

Part IV

Correspondence between monads and theories, take 2

Outline

⑧ Adjunction between monads and theories